**ASHTABULA COUNTY**

**DRUG COURT PROGRAM**

**![C:\Users\SLBelconis\AppData\Local\Microsoft\Windows\Temporary Internet Files\Content.IE5\IY2C7463\20120821-justice-sword[1].jpg]()**

**PARTICIPANT’S HANDBOOK**

**INDEX**

What makes a person eligible for the Drug Court Program? pg. 3

How does a person apply for Drug Court? pg. 4

What happens now that I have been accepted into Drug Court?

 Diversion Participant pg. 5

 Post-Conviction Participant pg. 5

How long will I be in the Drug Court Program? pg. 5

What happens if I don’t complete the program?

 Diversion Participant pg. 5

 Post-Conviction Participant pg. 5

What are the terms and conditions of the Drug Court Program? pg. 6

 Preliminary Assessment pg. 6

 Intensive Outpatient (IOP) pg. 6

 Non-Intensive Outpatient\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_pg. 6-7

 Residential (Jail Treatment Program) pg. 7

 N.E.O.C.A.P pg. 7-8 Residential (Turning Point) pg. 8

 Medication Assisted Treatment and Mental Health\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_pg. 8

How will I be monitored for substance abuse? pg. 9

What if I take doctor prescribed medications? pg. 10

What are treatment team meetings? pg. 10-12

What are Status Hearings? pg. 12-13

What are the program phases?

 Orientation Phase pg. 13

 Phase I pg. 13

 Phase II pg. 14

 Phase III pg. 14

 Phase IV and Maintenance pg. 15

 Commencement\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_pg. 15

What are the incentives used in the Drug Court Program? pg. 16

What are the sanctions used in the Drug Court Program? pg. 17

Are the Drug Court files confidential? pg. 18

Can I possess a firearm while in the Drug Court Program? pg. 18

**INDEX OF APPENDIXES**

Appendix I Current Roster of Treatment Team Members

Appendix II Sanction Grid

**WHAT MAKES A PERSON ELIGIBLE FOR THE DRUG COURT PROGRAM?**

 A person who is interested in the Drug Court Program is assessed for both legal and social factors to determine if they meet criteria for admission. These factors include current charge(s), criminal history, and adjustment to prior supervision, circumstance of offense, outstanding warrants, detainers and previous diversions. Other factors are family history, health condition and motivation and willingness to participate. Admission to the program is on a first come, first served basis, and is without regard to the race, national origin, age, gender or sexual orientation of an applicant.

 The Drug Court Judge has discretion to decide admission into the Drug Court Program in accordance with written eligibility criteria. The legal and clinical eligibility requirements do not create a right to participate in the Drug Court Program.

 The rights and responsibilities of participants of the Drug Court Program are set forth in this handbook. This handbook further serves as an explanation of the responses to compliance and noncompliance, including the criteria for graduation and termination.

**THRESHOLD LEGAL CRITERIA**

**Post Conviction**

Exclusion Factors:

* Candidate does not reside in Ashtabula County
* Drug Trafficking above F-4
* Sex Offense
* Crime involves use1 of weapon(s)
* Crime involves child victim
* Offense involves victim with serious injury
* Community Control is not permissible
* Candidate is ordered to pay restitution in an amount that exceeds 5% of either his/her gross income for the preceding calendar year, or of the current minimum wage, whichever is greater

If any box is checked, the defendant is not eligible for drug court. If no boxes are checked, review additional considerations.

Additional Considerations:

* Violent sex crimes
* Crimes involving child victims
* Felony convictions above F-4 resulting in from assaultive behavior

Clinical Disqualification

* Defendant has needs that are beyond the scope of what the drug court can reasonably accommodate

If no boxes are checked, the defendant meets the threshold legal criteria for admission into drug court. If any box is checked, drug court team will determine whether admission is likely to undermine integrity of the program or pose an unreasonable risk to staff and other participants. In all cases involving additional considerations a written statement of reasons for admission or exclusion will be placed in the defendant’s drug court file.

1 Use of a weapon means the offender brandished a weapon or used the weapon to facilitate the commission of an offense against a person.

**Diversion**

Exclusion Factors:

* Defendant does not reside in Ashtabula County
* Drug or alcohol use is not a factor leading to the offense
* Any prior felony conviction
* Defendant has another pending felony indictment
* Prior intervention in lieu or similar program
* Crime is F1, F2 or F3
* Crime is offense of violence
* O.V.I.
* Drug Possession above F4
* Drug Trafficking above F5
* Sex Offense
* Crime involves use of weapon
* Victim is 65 or older
* Victim is under 13
* Victim is permanently and totally disabled
* Victim is a peace officer engaged in official duties
* Offense involves victim with serious injury
* Community control is not permissible
* Defendant is ordered to pay restitution in an amount that exceeds 5% of either his/her gross income for the preceding calendar year, or of the current minimum wage, whichever is greater

If any box is checked, the defendant is not eligible for drug court. If no boxes are checked, review additional considerations.

Other prior history:

* Sex crimes
* Crimes involving child victims

Clinical disqualification:

* Defendant has needs that are beyond the scope of what drug court can reasonable accommodate.

If no boxes are checked, the defendant meets the threshold legal criteria for admission into drug court. Also if any box is checked, drug court team will determine whether admission is likely to undermine integrity of the program, or pose an unreasonable risk to staff and other participants. In all cases involving additional considerations a written statement of reasons for admission or exclusion will be placed in the defendant’s drug court file.

**HOW DOES A PERSON APPLY FOR DRUG COURT?**

 A person who is interested in participating in the Drug Court Program must submit, through his/her attorney, an application to the Ashtabula County Prosecutor. A person may apply to participate as either a diversion applicant or as a post-conviction applicant of the program. There is no legal right to participate in the Drug Court Program and the decision of the Drug Court Judge regarding admission is final.

**WHAT HAPPENS NOW THAT I HAVE BEEN ACCEPTED INTO DRUG COURT?**

I. Diversion Participant

 If you are accepted in the Drug Court Program, and approved by the assigned judge, as a diversion applicant, you will enter a guilty plea to the charge(s). The Court will then stay all further legal proceedings until you complete the Drug Court Program.

II. Post-Conviction Participant

 If you are accepted in the Drug Court Program, and approved by the assigned judge, as a post-conviction applicant, you will plead guilty to the charge(s) and be sentenced by the Court to the Drug Court Program as a condition of community control. You may also be ordered by the Court to successfully complete the program as a result of a community control violation or a judicial release.

**HOW LONG WILL I BE IN THE DRUG COURT PROGRAM?**

 The Drug Court Program is divided into phases that take, on average, eighteen (18) months, but no less than twelve (12) months to complete. The treatment plan and time in each phase of the program is determined for each participant by the Drug Court Team. Progression through the program is based upon performance in treatment and compliance with the requirements of each phase. In order to graduate, drug screen results must be negative for a minimum of one hundred twenty (120) days and no sanctions within the final thirty (30) days.

**WHAT HAPPENS IF I DON’T COMPLETE THE PROGRAM?**

I. Diversion Participant

 If you are a diversion participant and unsuccessfully terminated from the program for your failure to comply with the terms and conditions of the program, the stay of legal proceedings will be lifted and you will be sentenced to the usual sanctions allowable under the law for the offense(s). If you are unable to complete the program due to a serious medical or mental health condition which makes it impossible for you to complete the program, you will be neutrally discharged from the program. Disposition of the charge(s) will then be determined by the Judge originally assigned and the prosecuting attorney.

II. Post-Conviction Participant

 If you are a post-conviction participant and unsuccessfully terminated from the program for your failure to comply with the terms and conditions of the program, a complaint for violation of community control will be filed and the matter will be set for a violation hearing before the Judge originally assigned. Should the Court determine that you violated the terms of community control for being terminated from the program, then you will be sentenced to the usual sanctions allowable under the law for the offense(s). If you are unable to complete the program due to a serious medical or mental health condition which makes it impossible for you to complete the program, you will be neutrally discharged from the program. Disposition of the community control will then be determined by the Judge originally assigned.

**WHAT ARE THE TERMS AND CONDITIONS OF THE DRUG COURT PROGRAM?**

 As a participant, you agree to enter into and complete inpatient and/or outpatient substance abuse treatment and counseling, including any aftercare requirements, and to pay the treatment provider for costs not paid by government sources. You further agree to report as scheduled for treatment and to participate in all activities of the treatment program, which may include any or all of the following:

**Preliminary Assessment**

* Prior to the official drug court program entry hearing, the participant agrees to undergo a risk assessment and investigation with the Ashtabula County Probation Department, and a substance abuse assessment at the Lake Area Recovery Center which will determine the level of treatment required, and be screened for possible mental health needs. The participant shall complete a release of information for communication about confidential information, participation/progress in treatment, in compliance with the provisions of the “Health Insurance Portability and Accountability Act of 1996”, 42 U.S.C.300gg-41, as amended, and Sections 2151.421 and 2152.99 of Ohio Revised Code and 42 CFR.

**Intensive Outpatient Program**

* 13 to 14 weeks; 3 days weekly (Monday, Wednesday, Friday) from 4:00 p.m. to 7:00 p.m.
* One individual session required on either Tuesday or Thursday weekly (times may vary depending on participant responsivity/schedule)
* A minimum of three Court approved self-help recovery program meetings per week (i.e. NA/AA, SMART, NAMI, or other evidence based programs)

**Non-Intensive Outpatient**

* **Motivational Enhancement**
	+ 4 weeks; 3 days weekly (Monday, Wednesday and Friday)
	+ Individual session based on need/responsibility
	+ Introduction to outside self-help groups
* **Criminal Thinking Group**
	+ 12 weeks; 2 days weekly (Tuesday and Thursday) from 5:30 p.m. to 7:00 p.m.
	+ One individual session required on either Monday or Wednesday bi-weekly (times may vary depending on participant responsivity/schedule)
	+ A minimum of four Court approved self-help recovery program meetings per week (i.e. NA/AA, SMART, NAMI, or other evidence based programs)
* **Aggression Replacement Therapy**
	+ 10 weeks; 3 days weekly (Monday, Wednesday, Friday) from 4:00 p.m. to 5:00 p.m.
	+ One individual session required on either Tuesday or Thursday bi-weekly/ tri-weekly (times may vary depending on participant responsivity/schedule)
	+ A minimum of four Court approved self-help recovery program meetings per week (i.e. NA/AA, SMART, NAMI, or other evidence based programs)
* **Seeking Safety**
	+ 12 weeks; 2 days weekly (Monday and Wednesday-Males) (Tuesday and Thursday-Females) from 4:00 p.m. to 5:30 p.m.
	+ One individual session required Monday-Thursday weekly or bi-weekly (times may vary depending on participant responsivity/schedule)
	+ A minimum of four Court approved self-help recovery program meetings per week (i.e. NA/AA, SMART, NAMI, or other evidence based programs)
* **Thinking for a Change**
	+ 12 weeks; 1 day weekly (Monday-Males) from 9:00 a.m. to 12:00 p.m. (Thursday-Females) from 9:00 a.m. to 12:00 p.m.
	+ One individual session required Monday-Friday weekly or bi-weekly (times may vary depending on participant responsivity/schedule)
	+ A minimum of four Court approved self-help recovery program meetings per week (i.e. NA/AA, SMART, NAMI, or other evidence based programs)
* **Relapse Prevention**
	+ 12 weeks; 1 day weekly (Wednesday) from 4:00 p.m. to 6:00 p.m.
	+ One individual session required Monday-Friday tri-weekly (times may vary depending on participant responsivity/schedule)
	+ A minimum of four Court approved self-help recovery program meetings per week (i.e. NA/AA, SMART, NAMI, or other evidence based programs)

**Residential Treatment**

* **Jail Treatment Program**
	+ The Court may, if necessary, sentence you to a term of incarceration in the Ashtabula County Jail and require that you complete the Residential Substance Abuse Treatment (RSAT) Program. RSAT utilizes both the “Thinking for a Change” program and the “Cognitive Behavioral Intervention Substance Abuse” program as a means to develop cognitive, behavioral, social vocational skills necessary to decrease the likelihood of reoffending. RSAT lasts for a period of ninety (90) days.
* **NEOCAP (NorthEast Ohio Community Alternative Program)**
	+ The Court may, if necessary, refer the participant to the Northeast Ohio
	Community Alternative Program, a community based corrections facility program located in Warren, Ohio, for treatment for a period of up to six (6) months.
	+ There are four phase levels that residents progress through during the four to six month program. They are: Phase 1 (Orientation) for approximately the first 30 days of residency.  The focus during Phase 1 is on completing an accurate assessment of the resident’s needs and using that assessment to build a comprehensive case plan.  Additionally, the focus is on enhancing the resident’s motivation for treatment and familiarizing the resident with the cognitive behavioral treatment modality.  During Phase 1, the resident’s movement is restricted to the facility with the only exception being a medical emergency. Phase 2 of the program is earned through appropriate effort and overall good behavior and lasts for approximately 30 days. Phase 3 is earned through consistent good behavior and effort and also lasts approximately 30 days.  During phases 2 and 3 of the program, the resident spends the majority of the time attending treatment groups and movement in the community is limited to program related activities and community service projects. Phase 4 of the program is also earned through consistent, good overall effort in the program and is the time in which the resident works to prepare for successful reintegration back into his/her home community.  Some activities during this phase include, but are not limited to: obtaining employment, participating in work release, securing housing, attending community support group meetings (AA/NA) and spending time with family on approved leave passes that must be earned through good behavior.
	+ Each resident is given a variety of assessments in order to develop an individualized treatment plan. This plan is created to address such issues as mental health, substance abuse, attitude and orientation, employment/vocational training, education and housing. The resident participates in an intensive cognitive behavioral curriculum that has proven to be effective in addressing criminal behavior. The goal is to reduce the likelihood of relapsing or reoffending. Residents move through the curriculum by meeting their individualized program goals. As they progress, the residents gradually reintegrate into their communities. Residents must demonstrate appropriate behavior obtaining and maintaining employment (if appropriate), attending support group meetings and becoming pro-social members of their communities. The resident is assigned a Case Manager, who assists him/her in developing a treatment plan with goals and objectives to address the identified criminogenic factors. The resident immediately starts to address the goals and objectives in his/her treatment plan. Goals that cannot be obtained within the four (4) to six (6) months of residency will become a part of the resident’s release plan, which is forwarded to the probation department. The probation officers enforce, monitor and supervise compliance with the release plan. Groups are developed to be comprehensive in nature and address the total range of the resident’s criminogenic needs. Each group addresses major criminogenic areas and uses curricula that are evidence-based and proven to reduce criminal behavior in the adult resident population. Cognitive-behavioral methods are applied in all group activities.
* **Turning Point**
	+ If the participant meets the criteria for non-medical residential treatment, the participant will be admitted into the residential turning point for a minimum of ninety (90) days. The staff will monitor random drug screens as well as provide transportation to drug court status hearings. If the participant is a pregnant woman using opiates, prior to admission the staff will coordinate the drug and alcohol treatment with a provider of partial agonist therapy. These high-risk pregnancies receive intensive case management support.
* **Medication Assisted Treatment and Mental Health**
	+ In appropriate cases the Drug Court works with Community Counseling Center to provide Medically Assisted Treatment (MAT) (i.e. Suboxone, Subutex, Vivitrol, etc.) and mental health treatment. When indicated by a mental health assessment treatment can include psychiatry, individual therapy, case management and supported employment.

**HOW WILL I BE MONITORED FOR SUBSTANCE ABUSE?**

Drug and alcohol testing plans are individualized and comply with the following guidelines. The participant agrees to submit to random, frequent, and observed alcohol and drug screens. The participant is required to contact a drug testing automated system Sunday through Sunday between 5:00 a.m. to 5:00 p.m. to find out if he/she is required to submit a urine screen from 10:00 a.m. to 7:00 p.m. that day, excluding the hour between 3:30 p.m. and 4:30 p.m. The participant is also required to submit to testing if requested by treatment, the probation department or the Judge. All testing results will be shared with the Judge and the other members of the treatment team. Testing positive will result in a sanction and/or therapeutic adjustment.

 The participant will be tested with an instant urinalysis. The participant agrees to travel to the testing location at Lake Area Recovery Center during the hours indicated for the testing. The participant agrees to arrive at the testing location to produce a sample within a certain period of time. The following acts are treated as positive tests and subject to sanction. If the participant fails to provide a urine sample when requested, it will be the same as a positive test and immediately sanctioned. A diluted sample is considered a positive test and will be immediately sanctioned. Should the participant tamper with or adulterate the urine sample, including submitting the sample of another individual, it will be considered a positive test and the participant will be immediately sanctioned. In addition participants will be required to abstain from mind altering substances, legal or illegal, including Drugs, Alcohol, Kratom, Synthetic Drugs, Bath Salts, Pseudoephedrine, Creatine, Inhalants/ Whip its, Phenibutal, Alcohol Vapes, etc. It is also required that participants do not consume poppy seeds, because they can cause a false positive and may result in a sanction. In addition the participant may not take Non-FDA Approved foods, medications, supplements, chemicals, herbal remedy, etc. (Examples include natural remedies, workout supplements etc.) There are also medications that can cause a false positive or that are not recommended for individuals in recovery. Therefore, prior to taking any over-the-counter medications make sure to consult with the treatment team, Probation Officer and/or Counselor to determine if it could cause issues with your treatment and/or drug screens. This is always updating, so participants must always check with the team regarding new substances or prior to taking anything new.

 The participant shall not be in any establishment primarily engaged in the sale of alcoholic beverages.

If the participant tests positive, the participant may request that the sample be retested for confirmation. If the participant fails to make a timely request so that the sample is not available to retest, the ability to request confirmation testing is deemed waived. If the confirmation testing returns positive, the participant will be sanctioned.

In addition to sanctions for positive tests, the Judge and treatment team may require a change in the participant’s treatment plan. The Judge and treatment team understand the difference between relapses in the beginning of treatment versus later on in the program and treatment and/or sanctions are used when appropriate and enforced by the Judge.

The participant shall also submit to testing of his/her breath, hair follicle or blood as required by the Drug Court Team.

For Drug Court purposes, a participant’s sobriety date starts when they are admitted into the program and test negative. A participant beginning the Drug Court program who tests positive for Marijuana will be monitored for a maximum of 45 days, to make sure levels are decreasing. If the participant does not test negative by day 45, or their levels increase, they may be sanctioned and/or given a therapeutic adjustment.

**WHAT IF I TAKE DOCTOR PRESCRIBED MEDICATIONS?**

You must report all prescribed medications to L.A.R.C. and the probation officer. You will agree to provide verification of any prescriptions from your doctor including signing a release for the Drug Court Treatment Team to contact any doctor. Medications that participants are generally **NOT** permitted to take include Opiates (example: Lortabs, Vicodin, OxyContin or Oxycodone, Tylenol 3, Percocet, Darvon, Darvocet, etc.), Amphetamines (example: Adderall, Ritalin, Strattera, etc.) and Benzodiazepines (example: Klonopin, Xanax, Diazepam, Valium, etc.). If a doctor believes that it is absolutely necessary to prescribe medications that will yield a positive urine drug screen (UDS), you must submit a letter to the Court from the doctor stating that he/she is aware of your status as a recovering person, why the need for the medication outweighs the possible risks to your status as a recovering person and that he/she has been made aware of the participation in the Drug court Program and that you are subject to UDS. If you test positive and do not have a letter from your doctor, you are subject to sanctions immediately. You agree to take all approved medications strictly as prescribed. In addition you are required to have a doctor card filled out for every doctor visit, which should contain all prescribed medications, prescriptions given at the visit, and be signed by the doctor’s office.

**WHAT ARE TREATMENT TEAM MEETINGS?**

 The Drug Court Treatment Team and the Judge use a team approach. The treatment team monitors your performance and progress in the program. The treatment team meetings are held each week prior to the status hearings. The treatment team consists of the Judge, representatives of the Lake Area Recovery Center, Community Counseling Center, NEOCAP, Probation Officer, Drug Court Coordinator, the prosecutor’s office, the public defender’s office and law enforcement. The purpose of the team approach is to have all members work together to assist participants to become successful. Each participant’s progress is discussed at every team meeting. You have the right to request that your defense counsel attend the portion of the treatment team meeting concerning you.

**Judge**

 The Judge is the leader of the treatment team and is knowledgeable about treatment and programming methods and their limitations. The Judge has the discretion to decide the admission into and termination from the Drug Court Program. The Judge is also the decision maker concerning incentives, sanctions, phase advancement and successful completion or termination from the program. The Judge discusses progress or problems with the participants at each status review hearing. (Appendix I)

**Drug Court Coordinator**

 The Drug Court Coordinator conducts random alcohol and drug screens and reports the results to the treatment team. She assists the Drug Court Probation Officer with monitoring the participants’ compliance with sanctions and conducting visits to the participants’ residences. The Drug Court Coordinator attends each treatment team meeting and status review hearing. During treatment team meetings the Drug Court Coordinator informs the treatment team whether treatment plans, supervision plans and Court orders are being followed. She further advises the Judge of any Drug Court violations, provides progress reports and recommendations to the treatment team and participates in discussions about incentives, sanctions, phase advancement, successful completion and termination. The Drug Court Coordinator maintains statistics and tracking for individuals applying for Drug Court who are accepted, rejected, terminated or graduated from the program; tracks the meetings the participants attend; tracks recidivism after participants have completed Drug Court; and obtains/maintains grants for the Drug Court Program. (Appendix I)

**Drug Court Probation Officer**

 The Drug Court Probation Officer monitors the participants’ compliance with their supervision plans and Court case plans. He conducts random alcohol and drug screens and reports the results to the treatment team. He monitors the participants’ compliance with sanctions and conducts visits to the participants’ residences. The Drug Court Probation Officer attends each treatment team meeting and status review hearing. During the team meeting the Drug Court Probation Officer informs the team how the participant is doing in regards with treatment and supervision. He further advises the Judge of any Drug Court violations, provides progress reports and recommendations to the treatment team and participates in discussions about incentives, sanctions, phase advancement, successful completion and termination. (Appendix I)

**Lake Area Recovery Center**

 Lake Area Recovery Center is a licensed treatment facility. Lake Area Recovery Center conducts the diagnostic assessments, provides the clinical diagnoses, develops treatment plans, and provides documentation on a participant’s progress in treatment and compliance with treatment plans, including treatment attendance and results of alcohol and drug testing. A designated representative attends every treatment team meeting and status review hearing. During the treatment team meetings this representative gives treatment updates and makes recommendations regarding treatment needs. This representative also participates in discussions regarding incentives, sanctions, phase advancement, successful completion and termination. (Appendix I)

**Community Counseling Center**

 Community Counseling Center is a licensed treatment facility. Community Counseling Center conducts the mental health assessment, provides clinical diagnoses, develops treatment plans and provides documentation on a participant’s progress in treatment and compliance with treatment plans, including attendance and participation. A designated representative attends every treatment team meeting and status review hearing. During the treatment team meetings this representative gives treatment updates and makes recommendations regarding treatment needs. This representative also participates in discussions regarding incentives, sanctions, phase advancement, successful completion and termination. (Appendix I)

**N.E.O.C.A.P.**

 A designated representative attends every treatment team meeting and status review hearing. During treatment team meetings this representative gives treatment updates on the participants in N.E.O.C.A.P. and makes recommendations regarding any additional treatment needs. This representative also participates in discussions regarding incentives, sanctions, phase advancement, successful completion and termination. (Appendix I)

**Prosecutor**

 The Ashtabula County Prosecutor, or his representative, identifies eligible defendants in accordance with the Drug Court written criteria for admissions. The Prosecutor attends each treatment team meeting and status review hearing. During treatment team meetings the Prosecutor makes recommendations concerning incentives, sanctions, phase advancement, successful completion and termination. (Appendix I)

**Public Defender**

 A designated representative of the Ashtabula County Public Defender’s Office attends each treatment team meeting and status review hearing. If the participant is not represented by the Public Defender’s Office, the participant may request that his/her counsel attend the portion of the treatment team meeting wherein the participant is discussed. The public defender makes recommendations to the Judge regarding incentives, sanctions, phase advancement, successful completion and termination from the program. (Appendix I)

**Law Enforcement**

 A designated representative of the Ashtabula County Sheriff’s Department attends the treatment team meetings and status review hearings. The Sheriff’s representative serves as a liaison between the Drug Court and the law enforcement community and presents the perspective of law enforcement as it relates to accountability and treatment. During treatment team meetings the Sheriff’s representative makes recommendations concerning incentives, sanctions, phase advancement, successful completion and termination.

**WHAT ARE STATUS HEARINGS?**

While in the Drug Court Program, each participant must comply with all required appearances at status hearings. As you progress, required status hearings may be reduced to bi-weekly or tri-weekly.

* Status Hearings are court hearings, scheduled at 9:00 a.m. every Thursday in Judge Yost’s Courtroom, which is located on the second floor of the Ashtabula County Courthouse. ***ALWAYS ARRIVE ON TIME!!***
* The Judge will review any activity or developments, ask you questions, and provide incentives or issue sanctions as appropriate. You will be expected to converse with the Judge and it is extremely important to be open and honest. ***NEVER LIE!!***
* Never leave the Courtroom without permission.
* Participants agree to maintain confidentiality regarding information shared during status hearings and/or treatment sessions regarding other participants.
* Never arrive intoxicated or high, and be prepared to submit to a urine screen (UDS) if asked to do so.
* Dress appropriately for a Court appearance.
* Shirts are to be tucked in
* Dress pants (fit properly)
* No clothing associated with tobacco, alcohol, drugs, bars or gangs
* No hats or sunglasses
* No transparent clothing unless layered with undershirt
* No low cut shirts, midriffs or halter tops
* No do rags
* No work boots or flip flops
* No sleeveless shirts/tank tops or backless shirts
* Dresses and skirts must be the length that would reach the tips of your fingers while hands are straight down at your side
* No jeans, cutoff jeans, shorts or tank tops will be allowed in Court
* No yoga pants or workout pants
* No sundresses unless shoulders covered

Any failure to comply with dress code may result in participant being asked to leave and/or a sanction. In addition during Drug Court Status Hearings there are to be no cell phones, drinks or food. Phones are collected at the beginning of the status hearing. If you are found to be in possession of a phone during the status hearing, it will be confiscated.

* Feel free to bring family, friends or your sponsor to Court with you. Emotional support is important to your recovery.

**WHAT ARE THE PROGRAM PHASES?**

**Orientation Phase**

 The Orientation Phase occurs during the eligibility screening and assessment process. During this phase the participant will be expected to do the following:

* Meet as instructed with the Drug Court Coordinator and/or Drug Court Probation Officer. They will interview you as part of a risk assessment and will prepare a written report. The purpose of this report is to collect information that will assist the Drug Court Treatment Team in assessing the participant’s eligibility to participate in the Drug Court Program and to inform the assigned judge’s decision whether to grant your request for Drug Court.
* Meet as instructed at the Lake Area Recovery Center in order to undergo a complete substance abuse assessment.
* Sign any necessary releases of information.
* Become familiar with the location of the service providers and address any issues of transportation and/or employment.
* Review the participant handbook and the participation agreement with your defense counsel.

***You should discuss any questions you have regarding your eligibility and desire to participate in the Drug Court Program with your defense counsel.***

**Phase I**

In order to meet the obligations of Phase I, the participant will be required to:

* Attend weekly status review hearings;
* Attend all treatment sessions;
* Call in and submit to random alcohol and drug testing;
* Complete a mental health assessment, if indicated;
* Attend all required meetings and follow all rules of supervision with the Drug Court Coordinator and/or probation department;
* Cooperate with random home visits by the Drug Court Coordinator and/or probation department;
* Engage in sober community support activities; and
* Remain law abiding.

To advance:

* Compliance with above;
* Minimum 14 consecutive days clean/no infractions.

Movement through this Phase is based upon the participant’s compliance and progress.

**Phase II**

In order to meet the obligations for Phase II, the participant will be required to:

* Regularly attend status review hearings before the Judge to review the participant’s progress;
* Comply with Treatment Plan;
* Continue to attend all treatment sessions, including required self-help recovery programs, such as AA/NA or other Court approved evidence based programs;
* Continue to attend all required meetings and follow all rules of supervision with the Drug Court Coordinator and/or probation department;
* Continue to cooperate with random home visits by the Drug Court Coordinator and/or probation department;
* Continue to call in, submit and provide negative results to all alcohol and drug testing;
* Continue to engage in sober community support activities;
* Follow through on housing, educational, vocational, and employment referrals; and
* Remain law abiding.

To advance:

* Compliance with above
* Minimum 60 consecutive days clean/ 30 days no major infractions

Movement through this Phase is based upon the participant’s compliance and progress.

**Phase III**

In order to meet the obligations of Phase III, the participant will be required to:

* Regularly attend status review hearings before the Judge to review the participant’s progress;
* Comply with Treatment plan;
* Continue to attend all treatment sessions, including required self-help recovery programs, such as AA/NA or other Court approved evidence based programs;
* Continue to attend all required meetings and follow all rules of supervision with the Drug Court Coordinator and/or probation department;
* Continue to cooperate with random home visits by the Drug Court Coordinator and/or probation department;
* Continue to call in, submit and provide negative results to all alcohol and drug testing;
* Continue to engage in sober community support activities;
* Obtain/maintain stable, clean, sober housing;
* Obtain/maintain employment, schooling, vocational training, or engage in other Court approved activity;
* Obtain and verify a recovery sponsor;
* Have a realistic plan for payment of restitution, fines and supervision fees;
* Begin the Restorative Justice Process;
* Remain law abiding.

To advance:

* Compliance with above;
* Minimum 90 consecutive days clean/30 days no major infractions.

Movement through this phase is based upon the participant’s compliance and progress.

**Phase IV and Maintenance**

 During the maintenance phase, the participant is required to continue to successfully and faithfully adhere to all treatment and Drug Court Program requirements, and to continue to meet the same obligations as set forth above in the other Phases.

 Graduation from the Drug Court Program will occur after the participant has successfully completed all of the phases. In order to graduate the participant will have to demonstrate the following compliant behavior and accomplishments.

* Demonstrated abstinence from alcohol and drugs as evidenced by submitting negative screens for a minimum of one hundred twenty (120) days prior to graduation;
* Successfully completed treatment and regularly attended required self-help recovery programs, such as AA/NA or other Court approved evidence based programs;
* Demonstrated stability in the community;
* Regularly attend status review hearings before the Judge to review the participants progress;
* Continue to attend all treatment sessions, including required self-help recovery programs, such as AA/NA or other Court approved evidence based programs;
* Continue to attend all required meetings and follow all rules of supervision with the Drug Court Coordinator and/or probation department;
* Continue to cooperate with random home visits by the Drug Court Coordinator and/or probation department;
* Obtain/maintain stable, clean, sober housing;
* Obtain/maintain employment, schooling, vocational training, or engage in other Court approved activity;
* Complete Restorative Justice process;
* Continue and complete the Mentorship Program by mentoring a Drug Court participant, giving a Turning Point or IOP Lead, or serving as a treatment volunteer;
* Complete any other outside requirements (parenting classes, family counseling, financial counseling etc.)
* Paid in full restitution, fines, supervision fees and court costs, unless otherwise determined.

To advance:

* Compliance with above
* Minimum 120 consecutive days clean/30 days no infractions

The Judge has discretion to determine when the participant will graduate.

**Commencement**

* Complete and submit the written application for Commencement;
* Complete Commencement interview;
* Remain compliant with all requirements through actual Commencement ceremony;
* No sanctions/infractions within 30 days of Commencement ceremony.

**WHAT ARE THE INCENTIVES USED IN THE DRUG COURT PROGRAM?**

 The goal of the Drug Court Program is to encourage success and discourage failure. With that objective, the Drug Court Program uses incentives as an important component in making lasting changes in behavior. Incentives demonstrate acknowledgment of the difficult changes a participant is making in his/her life. Positive changes and compliance with Drug Court requirements will be rewarded.

Some of the positive changes and behaviors that may be rewarded include, but are not limited to:

* Attending all status hearings;
* Attending all treatment sessions and Court approved self-help recovery meetings;
* Attending all appointments with the Drug Court Coordinator and/or probation
 department;
* Abstaining from alcohol and drugs, as evidenced by negative test results;
* Engaging in vocational or educational activities;
* Obtaining a Sponsor;
* Securing stable housing;
* Obtaining employment;
* Advancing in the Drug Court Program Phases; and
* Accomplishing any other milestone identified by the treatment team.

 The Judge uses incentives on a case-by-case basis. The Judge dispenses incentives as the participant’s status and conduct indicate. The Judge determines the type of incentives received based on the participant’s performance and compliance with program requirements.

There are many types of incentives available that may include, but are not limited to:

* Encouragement and praise from the Judge;
* Ceremonies and tokens of progress, including advancement in the Drug Court Phases;
* Decreasing court appearances and supervision contacts;
* Increasing or expanding privileges;
* Gift cards for restaurants, movie theaters, recreational activities, or personal care
 services;
* Reducing fines or fees;
* Dean’s list;
* Recovery Books, including The Big Book, NA Book and Smart Recovery Workbook
* Weekly fishbowl incentives; and
* Graduation from the Drug Court Program.

 Incentives may be provided and can be earned through compliance with the Drug Court Program.

**WHAT ARE THE SANCTIONS USED IN THE DRUG COURT PROGRAM?**

 Just as it is important to recognize progress, it is also important to respond swiftly to problems and noncompliant behavior. By imposing sanctions, a participant who is not compliant with the requirements of the phases will learn that there are consequences for his/her behavior. The objective is not only to reprimand noncompliance, but to re-engage and encourage the participant to continue working through the recovery and treatment process. Sanctions are issued according the seriousness of a violation. Serious violations could result in termination from the program. Sanctions are used on a case-by-case basis by the Judge when a participant fails to comply with Drug Court Program requirements. These requirements include, but are not limited to:

* Failure to attend status hearings;
* Failure to respond in a timely manner to voice mail messages left from probation or treatment staff;
* Failure to call in for drug screen in the allotted time;
* Failure to attend treatment appointments, including Court approved self-help recovery meetings, such as AA/NA or other Court approved evidence based programs;
* Failure to keep scheduled appointments with the probation department;
* Falsifying or attempting to falsify any required documentation, including self-help recovery meeting attendance;
* Noncompliance with random alcohol and drug screens, testing positive for alcohol and or drugs, or adulterating or attempting to adulterate urine screens;
* Noncompliance with any of the requirements of the Drug Court Program Phases; and
* Failure to improve troublesome behaviors.

 Graduated sanctions are used to address noncompliant behaviors. Sanctions may include, but are not limited to:

* Warnings and admonishment from the Judge;
* Community service work;
* Individualized sanctions such as writing essays or reading books;
* Demotion to an earlier Drug Court Program Phase;
* Electronically Monitored House Arrest (EMHA) or Secured Controlled Remote
 Alcohol Monitoring (SCRAM) bracelets;
* Increasing frequency of alcohol and drug testing;
* Increasing frequency of court appearances;
* Increasing supervision contacts;
* Refusing specific requests, such as permission to travel;
* Denying additional or expanded privileges, or rescinding privileges previously
 granted;
* Imposition of jail days;
* Filing of community control violation; and
* Termination from the Drug Court Program.

 Sanctions are not only used as a form of consequences for inappropriate choices, but also a way to re-evaluate a participant’s commitment to sobriety and to complete the Drug Court Program. (Appendix II)

**ARE THE DRUG COURT FILES CONFIDENTIAL?**

The files of all Drug Court participants are confidential and kept in a file cabinet, secured in the office of the Ashtabula County Probation Department. No person, other than a member of the treatment team, has access to these files.

**CAN I POSSESS A FIREARM WHILE I AM IN THE DRUG COURT PROGRAM?**

**NO!!** Ohio Revised Code Section 2923.13 provides that no person shall knowingly acquire, have, carry or use any firearm or dangerous ordnance if the person is under indictment for or has been convicted of a felony. Therefore, you are not permitted to possess or use a firearm while you are in the program, even if you are a diversion participant.

**APPENDIX I**

**IMPORTANT CONTACTS INFORMATION**

Stephanie Belconis

Ashtabula County Drug Court Coordinator

87 North Chestnut Street

Jefferson, Ohio 44047

Tel. #: 440-576-1515

W. Cell #: 440-969-0185

Fax #: 440-576-9901

Amber Stewart

Ashtabula County Drug Court Probation Officer

87 North Chestnut Street

Jefferson, Ohio 44047

Tel. #: 440-576-9909

W. Cell #: 440-969-3031

Fax #: 440-576-9901

Michael Murphy

Lake Area Recovery Center

2801 C. Court #1

Ashtabula, Ohio 44004

Tel. #: 440-998-0722

Matt Butler

Community Counseling Center

2801 C. Court #2

Ashtabula, Ohio 44004

Tel. #: 440-998-4210

Kim Massary

NorthEast Ohio Community Alternative Program

411 Pine Street

Warren, Ohio 44483

Tel. #: 330-675-266

Marie Lane

Ashtabula County Public Defender’s Office

4817 State Road, Suite 202

Ashtabula, Ohio 44004

Tel. #: 440-998-2628

Judge Yost’s Courtroom

Tel. #: 440-576-3681

**APPENDIX II**

**SANCTION GRID**

**Low:**

1. Verbal warning/admonition.

2. Letter of apology.

3. Criminal thinking worksheet.

4. Essay/Thought paper.

5. Daily activity log.

6. Journal.

7. Book report.

**Moderate:**

8. Increase probation appointments.

9. Community service 2, 4, 8, 12 hrs.

10. More frequent status hearings.

**High:**

11. Day reporting.

12. EMHA.

13. Jail 1-5 days (x2 w/ work release)

 - 1st and 2nd time - scheduled

 - 3rd + time - immediate

14. Termination from Drug Court

**Dilute Tests:**

1st Verbal warning

2nd Essay/Book report

3rd 4 hrs. Community service

4th Increased reporting (probation and possibly status hearings)

5th Jail

**Missed Call-ins:**

1st - 5th Ineligible for fish bowl drawing that week

6th Verbal warning plus ineligible for drawing

7th Essay/Book report plus ineligible for drawing

8th 2 hrs. Community service plus ineligible for drawing

9th 4 hrs. Community service plus ineligible for drawing

10th Increased reporting (probation/status hearings) plus ineligible for drawing

11th Jail plus ineligible for drawing

**Phases 1, 2 and 3:**

|  |  |
| --- | --- |
| BEHAVIOR | SANCTION |
|  |  |
| DISTAL |  |
|  |  |
| * not engaging in treatment
 | ) |
| * late to appointments or drug court
 | ) LOW  |
| * positive drug screen
 | ) |
| * overt display of anti-social behavior
 | ) |
|  |  |
| PROXIMAL |  |
|  |  |
| * dress code violation
* missed appointments
 | ) LOW) |
|  group, probation, individual, | ) |
|  mental health, case manager | ) MODERATE/ |
|  status hearing | ) HIGH |
| * missed screen
 | ) |
| * lying/manipulating drug screen
* failure to return doctor card
* false/forged documentation for any required verification
 | )))) |
|  |  |
| **Phases 4 and 5** |  |
|  |  |
| MINOR INFRACTION |  |
|  |  |
| * not engaging in treatment
 | ) |
| * late to appointments or drug court
 | ) LOW |
| * dress code violation
 | ) |
|  |  |
| MAJOR INFRACTION |  |
|  |  |
| * missed appointments
 | ) |
|  group, probation, individual | ) |
|  mental health, case manager | ) |
|  status hearing | ) MODERATE/ |
| * missed screen
 | ) HIGH |
| * lying/manipulating drug screen
 | ) |
| * positive drug screen
 | ) |
| * overt display of anti-social behavior
 | ) |
| * failure to return doctor card
 | ) |
| * false/forged documentation for any required verification
 | )) |

Sanctions are progressive. Successive infractions generally warrant increased severity.

Sanctions must be completed prior to the next scheduled status hearing. Failure to do so may result in additional/more severe sanctions.

Rule infractions can also trigger adjustments to treatment, which are not considered sanctions.

New criminal charges do not necessarily result in discharge from Drug Court, especially in the early phases, and if the participant’s substance abuse was a factor leading to the offense. However, a sentence for a criminal conviction can prevent the offender from completing Drug Court obligations resulting in unsuccessful termination from the program.

This Behavior/Sanction outline serves as a guideline. It does not create any right that a drug court participant will receive a particular sanction for any given conduct. The Court retains complete discretion to decide sanctions on a case by case basis and will consider aggravating and/or mitigating circumstances in every situation.